

California Mental Health Services Authority

FINANCE COMMITTEE TELECONFERENCE

AGENDA

December 9, 2019
3:00 p.m. – 4:00 p.m.
Dial-in Number: 916-352-7876
Access Code: 213138



CaIMHSA
3043 Gold Canal Drive, Suite 200
Rancho Cordova, CA 95670

Madera County Behavioral Health Svcs.
209 E. 7th Street
Madera, CA 93637

Mendocino County BHRS
1120 S. Dora Street
Ukiah, CA 95482

San Diego County Behavior Health Div.
3255 Camino del Rio S.
San Diego, CA 92108

In compliance with the Americans with Disabilities Act, if you are a disabled person and you need a disability-related modification or accommodation to participate in this meeting, please contact Laura Li at (916) 859-4818 (telephone) or (916) 352-8380 (facsimile). Requests must be made as early as possible, and at least one full business day before the start of the meeting.

Materials relating to an item on this agenda submitted to this Board after distribution of the agenda packet are available for public inspection at 3043 Gold Canal Drive, Suite 200, Rancho Cordova, CA, 95670, during normal business hours.

By joining this meeting, you are giving your consent to be recorded.

FINANCE COMMITTEE MEETING

1. CALL TO ORDER

2. ROLL CALL AND PUBLIC COMMENT INSTRUCTION

The Committee welcomes and encourages public participation in its meetings. This time is reserved for members of the public (including stakeholders) to address the Committee concerning matters on the agenda. Items not on the agenda are reserved for the end of the meeting. Comments will be limited to three minutes per person and 20 minutes total.

For agenda items, public comment will be invited at the time those items are addressed. Each interested party is to complete the Public Comment Card and provide it to CalMHSA staff prior to start of item. When it appears there are several members of the public wishing to address the Committee on a specific item, at the outset of the item, the Committee President may announce the maximum amount of time that will be allowed for presentation of testimony on that item. Comment cards will be retained as a matter of public record.

3. CONSENT CALENDAR 3

A. Routine Matters

a. Minutes from May 13, 2019 Finance Committee Teleconference 4

b. Treasurer’s Report as of September 30, 2019 7

Recommendation: Approval of the consent calendar.

4. REVIEW DRAFT OF FINANCIAL AUDIT, FISCAL YEAR ENDED JUNE 30, 2019 AND 2018 31

Recommendation: Committee to discuss and recommend acceptance of the financial audit to the Board of Directors for filing of the Financial Audit.

5. REVIEW OF FIRST QUARTER FINANCIAL STATEMENTS FOR THE QUARTER ENDED SEPTEMBER 30, 2019 32

Recommendation: Accept the CalMHSA Financial Statement for the Quarter ended September 30, 2019.

6. REVIEW OF PROPOSED REVENUE EXPENDITURE REPORT FOR FISCAL YEAR 2020/2021 AND REVIEW TIMELINE 37

Recommendation: Discussion and information only.

7. EXECUTIVE DIRECTOR FINANCE REPORT

8. PUBLIC COMMENT

This time is reserved for members of the public to address the Committee relative to matters of CalMHSA not on the agenda. No action may be taken on non-agenda items unless authorized by law. Comments will be limited to three minutes per person and twenty minutes in total. The Committee may also limit public comment time regarding agenda items, if necessary, due to a lengthy agenda.

9. CLOSING COMMENTS

10. ADJOURNMENT



CalMHSA Finance Committee

TELECONFERENCE MINUTES FROM May 13, 2019

Finance Committee Members

Present

- Bill Walker, CalMHSA Treasurer
- Veronica Kelley, San Bernardino County

Absent

- Terence M. Rooney, Colusa County (Ex-Officio)
- Dennis P. Koch, Madera County
- Jenine Miller, Mendocino County
- Mimi McKay, Los Angeles County

CalMHSA Staff

- John Chaquica, Chief Executive Officer
- Kim Santin, Finance Director
- Ann Collentine, Program Director
- Laura Li, JPA Administrative Director
- Jeremy Wilson, Program Coordinator

Public

- None

1. Call to Order

The CalMHSA Finance Committee teleconference was called to order at 3:05 PM on May 13, 2019, by Bill Walker, CalMHSA Treasurer.

2. Roll Call and Public Comment Instructions

JPA Administrative Director, Laura Li, CalMHSA, called roll and was unable to establish a quorum. All participants were asked to introduce themselves, however no public was present. JPA Administrative Director, Laura Li, CalMHSA proceeded to review the public comment instructions, noting that times not on the agenda would be reserved for public comment at the end of the meeting.

3. Consent Calendar

Mr. Walker requested to pull the minutes and asked staff to confirm the minutes being considered for approval are from February 5, 2019 vs March 18, 2018. Staff confirmed there was a typo in the agenda, however minutes enclosed for approval are from February 5, 2019, therefore the appropriate minutes were enclosed.

Action: Approval of the consent calendar.

No action taken due to lack of quorum.

Public comment was heard from the following individual(s):

None

4. CalMHSA Financial Statement for the Quarter Ending March 31, 2019

Mr. Walker expressed gratitude for the various reports demonstrating the funding amounts by county for each program. After further discussion regarding the value of the presented information, Mr. Walker, with support from Ms. Kelley, requested that staff present to the full board the contribution amounts by program outside of the consent calendar.

Action: Approval of the CalMHSA Financial Statement for the Quarter ended March 31, 2019

No action taken due to lack of quorum.

Public comment was heard from the following individual(s):

None

5. CalMHSA Annual Revenue and Expenditure Report – Proposed Budget June 30, 2020

The members had no questions, however Ms. Kim Santin, Finance Director, wanted to bring to their attention page 38, as it relates to the CPBHW (Private Public Partnership). She indicated there was a placeholder built in for this program in the event of funding coming through from the private sector, however monies would then be disbursed due to a contractual agreement for CalMHSA to provide the administration (staffing) needs in the initial phase of this effort.

The members asked if funding had been committed or received for this program, which staff confirmed no commitments had been made as of yet. In addition, the members asked if it was standard practice to include a placeholder for funds anticipated to be received vs committed, to which the response was yes. This has been standard practice for the last couple of years. Members had no additional questions.

Action: Review and discussion of the CalMHSA Proposed Revenue and expenditure report – Proposed Budget June 30, 2020.

No action taken due to lack of quorum.

Public comment was heard from the following individual(s):

None

6. Finance Committee Teleconference Meeting Calendar for Fiscal Year 2019-2020

The new meeting calendar was presented with fewer meeting dates, to which the present members supported.

Action: Approval of the proposed Finance Committee Teleconference Meeting Calendar for Fiscal Year 2019-2020

No action taken due to lack of quorum.

Public comment was heard from the following individual(s):

None

7. General Public Comment

This time is reserved for members of the public to address the Committee relative to matters of CalMHSA not on the agenda. No action may be taken on non-agenda items unless authorized by law. Comments will be limited to three minutes per person and twenty minutes in total. The Committee may also limit public comment time regarding agenda items, if necessary, due to a lengthy agenda.

Public comment was heard from the following individual(s):

None

8. Closing Comments

Bill Walker, CalMHSA Treasurer, indicated having noticed that the previous two board meetings had not gone well, to include having heard the same comments from other members. As such, he requested that staff add the topic for further discussion during the upcoming Officers Agenda Review Call.

9. Adjournment

With no further comments, the meeting ended at 3:43 PM.



"A George Hills Company Administered JPA"

Treasurer's Report

As of September 30, 2019

	Book Balance	Market Value	Effective Yield
Cash with California Bank & Trust	\$709,530	\$709,530	0.00%
Local Agency Investment Fund	\$20,285,211	\$20,318,535	2.28
Zions Bank - Cash Account	\$8,033,967	\$8,048,709	1.97%
Zions Bank - Custody Account	\$12,704,361	\$12,745,045	1.85%
Total Cash and Investments	\$41,733,069	\$41,821,819	2.03%

The Local Agency Investment Fund (LAIF) market value was derived by applying the September 2019 fair value factor of 1.001642817 to the book value.

Attached are Morgan Stanley/Zions Bank and LAIF reports detailing all investment transactions. The transfer of investments from Morgan Stanley to Zions Bank was completed in August 2019. Morgan Stanley balance as of September 30, 2019 was \$0.00.

I certify that this report reflects all cash and investments and is in conformance with the Authority's Investment Policy. The investment program herein shown provides sufficient cash flow liquidity to meet the Authority's expenditures for the next six (6) months.

Respectfully submitted,

Accepted,



 Kim Santin, Finance Director

 Luke Bergmann, Treasurer

10:13 AM
10/07/19

California Mental Health Services Authority
Reconciliation Summary
CB&T Checking, Period Ending 09/30/2019

	Sep 30, 19
Beginning Balance	2,193,986.56
Cleared Transactions	
Checks and Payments - 53 items	-7,072,034.58
Deposits and Credits - 6 items	6,073,736.57
Total Cleared Transactions	-998,298.01
Cleared Balance	<u>1,195,688.55</u>
Uncleared Transactions	
Checks and Payments - 27 items	-505,466.28
Total Uncleared Transactions	-505,466.28
Register Balance as of 09/30/2019	<u>690,222.27</u>
New Transactions	
Checks and Payments - 1 item	-426,456.16
Total New Transactions	-426,456.16
Ending Balance	<u>263,766.11</u>

11/22/19

see attached
voided chks
after Reconciliation
was done

19207.25

709,429.52

CalMHSA
Bank reconciliations
Voided Checks to reconcile to Bank Reconciliations

Sep-19

Bank rec balance \$ 690,222.27

Voided checks	Amount	Void Date
2020068	\$ 3,750.00	10/23/2019
2020169	\$ 600.00	11/6/2019
2020102	\$ 1,250.00	11/6/2019
104364	\$ 3,750.00	11/6/2019
104366	\$ 3,600.00	11/6/2019
2020367	\$ 1,250.00	11/14/2019
104256	\$ 3,746.25	11/12/2019
104675	\$ 11.00	11/12/2019
2020303	\$ 1,250.00	11/7/2019

\$ 19,207.25

Reconcile to GL in QB **\$ 709,429.52**

11-22-19

California Mental Health Services Authority

Reconciliation Detail

CB&T Checking, Period Ending 09/30/2019

Type	Date	Num	Name	Clr	Amount	Balance
Beginning Balance						2,193,986.56
Cleared Transactions						
Checks and Payments - 53 items						
Bill Pmt -Check	04/29/2019	104265	Torrance Unified Sc...	X	-3,750.00	-3,750.00
Bill Pmt -Check	07/11/2019	2020078	Harvard and Watts ...	X	-1,250.00	-5,000.00
Bill Pmt -Check	07/11/2019	2020028	ABC Unified School ...	X	-931.56	-5,931.56
Bill Pmt -Check	07/11/2019	2020190	William Jefferson Cli...	X	-806.25	-6,737.81
Bill Pmt -Check	07/15/2019	2020252	Katherine Steinberg	X	-15,400.00	-22,137.81
Bill Pmt -Check	07/18/2019	2020387	Lasting Impressions	X	-2,462.00	-24,599.81
Bill Pmt -Check	07/18/2019	2020348	Amanecer Communi...	X	-1,250.00	-25,849.81
Bill Pmt -Check	07/18/2019	2020349	American Indian Co...	X	-1,250.00	-27,099.81
Bill Pmt -Check	07/18/2019	2020390	NAMI Long Beach A...	X	-1,250.00	-28,349.81
Bill Pmt -Check	07/31/2019	2020284	LA Global Care	X	-1,250.00	-29,599.81
Bill Pmt -Check	08/02/2019	2020293	Total WP Support	X	-594.00	-30,193.81
Bill Pmt -Check	08/06/2019	2020298	Carol A. Wilkins	X	-308.08	-30,501.89
Bill Pmt -Check	08/15/2019	2020320	The Advot Project	X	-1,250.00	-31,751.89
Bill Pmt -Check	08/20/2019	2020322	The Whole Child Me...	X	-2,500.00	-34,251.89
Bill Pmt -Check	08/29/2019	2020325	George Hills Company	X	-455,425.51	-489,677.40
Bill Pmt -Check	08/29/2019	2020331	George Hills Company	X	-77,014.51	-566,691.91
Bill Pmt -Check	08/29/2019	2020334	Kings View	X	-73,334.00	-640,025.91
Bill Pmt -Check	08/29/2019	2020328	Cause Communicati...	X	-53,396.44	-693,422.35
Bill Pmt -Check	08/29/2019	2020339	Sherry Mathews Inc	X	-52,337.77	-745,760.12
Bill Pmt -Check	08/29/2019	2020341	Volunteers of America	X	-33,800.00	-779,560.12
Bill Pmt -Check	08/29/2019	2020330	Foundation for Calif...	X	-31,329.23	-810,889.35
Bill Pmt -Check	08/29/2019	2020336	Los Angeles County ...	X	-29,691.49	-840,580.84
Bill Pmt -Check	08/29/2019	2020333	Katherine Steinberg	X	-25,697.86	-866,278.70
Bill Pmt -Check	08/29/2019	2020340	The Social Changer...	X	-13,518.65	-879,797.35
Bill Pmt -Check	08/29/2019	2020337	Murphy, Campbell, ...	X	-11,008.00	-890,805.35
Bill Pmt -Check	08/29/2019	2020335	Lamar Kerley	X	-7,138.65	-897,944.00
Bill Pmt -Check	08/29/2019	2020338	Remcho Johansen ...	X	-6,357.53	-904,301.53
Bill Pmt -Check	08/29/2019	2020326	Alcorn Law Corporat...	X	-1,771.57	-906,073.10
Bill Pmt -Check	08/29/2019	2020329	Fortel Networks	X	-398.20	-906,471.30
Bill Pmt -Check	08/29/2019	2020327	Ann Collettine	X	-203.31	-906,674.61
Bill Pmt -Check	08/29/2019	2020332	Jeremy Wilson	X	-89.00	-906,763.61
Bill Pmt -Check	09/06/2019	2020426	NelNet	X	-25,000.00	-931,763.61
Bill Pmt -Check	09/06/2019	2020425	MOHELA	X	-25,000.00	-956,763.61
Bill Pmt -Check	09/06/2019	2020422	NAMI Antelope Valley	X	-1,250.00	-958,013.61
Bill Pmt -Check	09/06/2019	2020424	Homerun Entertain...	X	-1,250.00	-959,263.61
Bill Pmt -Check	09/06/2019	2020344	NAMI Urban Los An...	X	-1,250.00	-960,513.61
Bill Pmt -Check	09/06/2019	2020421	Jovenes, Inc.	X	-1,250.00	-961,763.61
Bill Pmt -Check	09/06/2019	2020423	Zaneta J Smith	X	-1,133.25	-962,896.86
Bill Pmt -Check	09/10/2019	2020427	Joy Thompson	X	-116.10	-963,012.96
Transfer	09/11/2019			X	-5,500,000.00	-6,463,012.96
Bill Pmt -Check	09/16/2019	2020435	Runyon Saltzman, Inc.	X	-74,396.40	-6,537,409.36
Bill Pmt -Check	09/16/2019	2020437	The Directing Chang...	X	-27,432.25	-6,564,841.61
Bill Pmt -Check	09/16/2019	2020431	Elite TransLingo LLC	X	-20,621.00	-6,585,462.61
Bill Pmt -Check	09/16/2019	2020436	Suicide Prevention o...	X	-16,458.33	-6,601,920.94
Bill Pmt -Check	09/16/2019	2020439	Suicide Prevention o...	X	-16,458.33	-6,618,379.27
Bill Pmt -Check	09/16/2019	2020429	Aileen Dizon	X	-2,492.26	-6,620,871.53
Bill Pmt -Check	09/16/2019	2020433	Kelechi Ubozoh	X	-1,541.34	-6,622,412.87
Bill Pmt -Check	09/16/2019	2020430	David A Young, PhD...	X	-787.50	-6,623,200.37
Bill Pmt -Check	09/19/2019	ACH0...	American Express	X	-7,830.85	-6,631,031.22
Bill Pmt -Check	09/20/2019	2020440	George Hills Company	X	-14,988.25	-6,646,019.47
Bill Pmt -Check	09/20/2019	2020441	Haven of Hope Foun...	X	-1,250.00	-6,647,269.47
Bill Pmt -Check	09/23/2019	2020442	Los Angeles Sparks	X	-300,000.00	-6,947,269.47
Bill Pmt -Check	09/24/2019	2020443	George Hills Company	X	-124,765.11	-7,072,034.58
Total Checks and Payments					-7,072,034.58	-7,072,034.58

California Mental Health Services Authority

Reconciliation Detail

CB&T Checking, Period Ending 09/30/2019

Type	Date	Num	Name	Clr	Amount	Balance
Deposits and Credits - 6 items						
Bill Pmt -Check	07/11/2019	2020080	Haven of Hope Foun...	X	0.00	0.00
Deposit	09/04/2019			X	5,066,777.80	5,066,777.80
Bill Pmt -Check	09/05/2019	2020342	Los Angeles Dodger...	X	0.00	5,066,777.80
Deposit	09/17/2019			X	407,648.77	5,474,426.57
Deposit	09/23/2019			X	11,216.00	5,485,642.57
Deposit	09/30/2019			X	588,094.00	6,073,736.57
Total Deposits and Credits					6,073,736.57	6,073,736.57
Total Cleared Transactions					-998,298.01	-998,298.01
Cleared Balance					-998,298.01	1,195,688.55
Uncleared Transactions						
Checks and Payments - 27 items						
Bill Pmt -Check	04/29/2019	104256	Eugenie Lewis		-3,746.25	-3,746.25
Bill Pmt -Check	05/16/2019	104364	LA County Museum ...		-3,750.00	-7,496.25
Bill Pmt -Check	05/16/2019	104366	Modern Support Ser...		-3,600.00	-11,096.25
Bill Pmt -Check	06/17/2019	104675	Ian Carson		-11.00	-11,107.25
Bill Pmt -Check	07/11/2019	2020068	El Centro De Amistad		-3,750.00	-14,857.25
Bill Pmt -Check	07/11/2019	2020102	LA County Museum ...		-1,250.00	-16,107.25
Bill Pmt -Check	07/11/2019	2020169	The Helen B. Landg...		-600.00	-16,707.25
Bill Pmt -Check	07/18/2019	2020367	Driving Force Group...		-1,250.00	-17,957.25
Bill Pmt -Check	07/18/2019	2020402	SELAH Neighborho...		-1,229.41	-19,186.66
Bill Pmt -Check	08/06/2019	2020303	Matthew Cadena		-1,250.00	-20,436.66
Bill Pmt -Check	09/06/2019	2020343	Holy Angels Catholic...		-1,250.00	-21,686.66
Bill Pmt -Check	09/16/2019	2020438	The Social Changer...		-46,019.96	-67,706.62
Bill Pmt -Check	09/16/2019	2020434	Painted Brain, Inc.		-35,204.46	-102,911.08
Bill Pmt -Check	09/16/2019	2020428	Active Minds Inc		-23,680.48	-126,591.56
Bill Pmt -Check	09/16/2019	2020432	Imperial Co		-6,675.00	-133,266.56
Bill Pmt -Check	09/26/2019	2020446	Cause Communicati...		-98,697.96	-231,964.52
Bill Pmt -Check	09/26/2019	2020449	Kings View		-85,650.72	-317,615.24
Bill Pmt -Check	09/26/2019	2020455	Your Social Markete...		-62,356.58	-379,971.82
Bill Pmt -Check	09/26/2019	2020452	Laurel Bear		-32,250.00	-412,221.82
Bill Pmt -Check	09/26/2019	2020447	Foundation for Calif...		-25,505.82	-437,727.64
Bill Pmt -Check	09/26/2019	2020454	RAND Corporation		-24,725.41	-462,453.05
Bill Pmt -Check	09/26/2019	2020445	CA Pan-Ethnic Healt...		-23,000.00	-485,453.05
Bill Pmt -Check	09/26/2019	2020444	A-Z Techs		-6,962.50	-492,415.55
Bill Pmt -Check	09/26/2019	2020450	Lamar Kerley		-6,937.71	-499,353.26
Bill Pmt -Check	09/26/2019	2020453	National Alliance on ...		-4,253.03	-503,606.29
Bill Pmt -Check	09/26/2019	2020448	HG Consulting Grou...		-1,744.99	-505,351.28
Bill Pmt -Check	09/26/2019	2020451	Laura Li		-115.00	-505,466.28
Total Checks and Payments					-505,466.28	-505,466.28
Total Uncleared Transactions					-505,466.28	-505,466.28
Register Balance as of 09/30/2019					-1,503,764.29	690,222.27
New Transactions						
Checks and Payments - 1 item						
Bill Pmt -Check	10/01/2019	2020456	George Hills Company		-426,456.16	-426,456.16
Total Checks and Payments					-426,456.16	-426,456.16
Total New Transactions					-426,456.16	-426,456.16
Ending Balance					-1,930,220.45	263,766.11



P.O. Box 489, Lawndale, CA 90260-0489

Statement of Accounts

Page 1 of 10
 This Statement: September 30, 2019
 Last Statement: August 30, 2019

DIRECT INQUIRIES TO:
 Customer Service 1 (800) 400-6080

0046474 4274-06-0000-CBT-PG0030-00055

CA MENTAL HEALTH SERVICES AUTHORITY
 3043 GOLD CANAL DR FL 2
 RANCHO CORDOVA CA 95670-6393

Sacramento Main
 520 Capitol Mall Suite 100
 Sacramento, CA 95814-4714
 (916) 341-4800

SUMMARY OF ACCOUNT BALANCE

Account Type	Account Number	Checking/Savings Ending Balance	Outstanding Balances Owed
PF Business Analyzed Account		\$1,195,688.55	

PF BUSINESS ANALYZED ACCOUNT 1030206381

129 55

Previous Balance	Deposits/Credits	Charges/Debits	Checks Processed	Ending Balance
2,193,986.56	6,073,736.57	5,507,830.85	1,564,203.73	1,195,688.55

4 DEPOSITS/CREDITS

Date	Amount	Description
09/04	5,066,777.80	REMOTE DEPOSIT 5353024538
09/17	407,648.77	REMOTE DEPOSIT 5353019210
09/23	11,216.00	COUNTY OF KERN ACCTS PA REF # 019266002087901 1108218873
09/30	588,094.00	REMOTE DEPOSIT 5353031021

2 CHARGES/DEBITS

Date	Amount	Description
09/11	5,500,000.00	DEBIT MEMO 5353025745
09/19	7,830.85	AMEX EPAYMENT ACH PMT W3144 REF # 019262000365560 1107425077

51 CHECKS PROCESSED

Number	Date	Amount	Number	Date	Amount	Number	Date	Amount
104265	09/16	3,750.00	2020332	09/04	89.00	2020423	09/20	1,133.25
2020028*	09/27	931.56	2020333	09/12	25,697.86	2020424	09/10	1,250.00
2020078*	09/03	1,250.00	2020334	09/09	73,334.00	2020425	09/20	25,000.00
2020190*	09/03	806.25	2020335	09/09	7,138.65	2020426	09/20	25,000.00
2020252*	09/03	15,400.00	2020336	09/10	29,691.49	2020427	09/13	116.10
2020284*	09/03	1,250.00	2020337	09/09	11,008.00	2020429*	09/18	2,492.26
2020293*	09/05	594.00	2020338	09/11	6,357.53	2020430	09/24	787.50
2020298*	09/06	308.08	2020339	09/10	52,337.77	2020431	09/23	20,621.00
2020320*	09/03	1,250.00	2020340	09/10	13,518.65	2020433*	09/17	1,541.34
2020322*	09/16	2,500.00	2020341	09/16	33,800.00	2020435*	09/25	74,396.40
2020325*	09/04	455,425.51	2020344*	09/27	1,250.00	2020436	09/20	16,458.33
2020326	09/13	1,771.57	2020348*	09/16	1,250.00	2020437	09/19	27,432.25
2020327	09/10	203.31	2020349	09/23	1,250.00	2020439*	09/20	16,458.33
2020328	09/11	53,396.44	2020387*	09/03	2,462.00	2020440	09/23	14,988.25
2020329	09/10	398.20	2020390*	09/23	1,250.00	2020441	09/23	1,250.00
2020330	09/06	31,329.23	2020421*	09/11	1,250.00	2020442	09/25	300,000.00
2020331	09/04	77,014.51	2020422	09/16	1,250.00	2020443	09/26	124,765.11

* Not in check sequence



AGGREGATE OVERDRAFT AND RETURNED ITEM FEES

	<i>Total for This Period</i>	<i>Total Year-to-Date</i>
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

To learn more about our other products and services that may lower the cost of managing account overdrafts or to discuss removing overdraft coverage from your account, please contact Customer Service or visit your local branch.

DAILY BALANCES

<i>Date</i>	<i>Balance</i>	<i>Date</i>	<i>Balance</i>	<i>Date</i>	<i>Balance</i>
09/03	2,171,568.31	09/12	898,003.88	09/23	1,109,725.12
09/04	6,705,817.09	09/13	896,116.21	09/24	1,108,937.62
09/05	6,705,223.09	09/16	853,566.21	09/25	734,541.22
09/06	6,673,585.78	09/17	1,259,673.64	09/26	609,776.11
09/09	6,582,105.13	09/18	1,257,181.38	09/27	607,594.55
09/10	6,484,705.71	09/19	1,221,918.28	09/30	1,195,688.55
09/11	923,701.74	09/20	1,137,868.37		



2:59 PM
11/22/19

California Mental Health Services Authority
Reconciliation Summary
CB&T AB1299, Period Ending 09/30/2019

	<u>Sep 30, 19</u>
Beginning Balance	100.00
Cleared Balance	100.00
Register Balance as of 09/30/2019	100.00
Ending Balance	100.00

California Mental Health Services Authority

Reconciliation Detail

CB&T AB1299, Period Ending 09/30/2019

Type	Date	Num	Name	Clr	Amount	Balance
Beginning Balance						100.00
Cleared Balance						100.00
Register Balance as of 09/30/2019						100.00
Ending Balance						<u>100.00</u>



P33686 05-0000-CBT-PG0023-00000

0033653 01 AB 0.409 **AUTO T3 0 4274 95670-639399

CA MENTAL HEALTH SERVICES AUTHORITY
3043 GOLD CANAL DR FL 2
RANCHO CORDOVA CA 95670-6393



DIRECT INQUIRIES TO:

Customer Service 1 (800) 400-6080



Sacramento Main
520 Capitol Mall Suite 100
Sacramento, CA 95814-4714
(916) 341-4800

SUMMARY OF ACCOUNT BALANCE

<i>Account Type</i>	<i>Account Number</i>	<i>Checking/Savings Ending Balance</i>	<i>Outstanding Balances Owed</i>
PF Business Analyzed Account		\$100.00	

PF BUSINESS ANALYZED ACCOUNT 5795359271

<i>Previous Balance</i>	<i>Deposits/Credits</i>	<i>Charges/Debits</i>	<i>Checks Processed</i>	<i>Ending Balance</i>
100.00	0.00	0.00	0.00	100.00

0 DEPOSITS/CREDITS

There were no transactions this period.

0 CHARGES/DEBITS

There were no transactions this period.

0 CHECKS PROCESSED

There were no transactions this period.

AGGREGATE OVERDRAFT AND RETURNED ITEM FEES

	<i>Total for This Period</i>	<i>Total Year-to-Date</i>
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

To learn more about our other products and services that may lower the cost of managing account overdrafts or to discuss removing overdraft coverage from your account, please contact Customer Service or visit your local branch.

DAILY BALANCES

<i>Date</i>	<i>Balance</i>
09/30	100.00



1:52 PM

11/22/19

California Mental Health Services Authority
Reconciliation Summary
LAIF(Local Agency Investment), Period Ending 09/30/2019

	<u>Sep 30, 19</u>
Beginning Balance	585,210.58
Cleared Transactions	
Deposits and Credits - 3 items	19,700,000.00
Total Cleared Transactions	<u>19,700,000.00</u>
Cleared Balance	20,285,210.58
Register Balance as of 09/30/2019	20,285,210.58
New Transactions	
Checks and Payments - 2 items	-1,850,000.00
Total New Transactions	<u>-1,850,000.00</u>
Ending Balance	18,435,210.58

**California Mental Health Services Authority
Reconciliation Detail
LAIF(Local Agency Investment), Period Ending 09/30/2019**

Type	Date	Num	Name	Clr	Amount	Bal
Beginning Balance						€
Cleared Transactions						
Deposits and Credits - 3 items						
Transfer	08/16/2019			X	1,500,000.00	1,5
Transfer	08/26/2019			X	12,700,000.00	14,2
Transfer	09/11/2019			X	5,500,000.00	19,7
Total Deposits and Credits					19,700,000.00	19,7
Total Cleared Transactions					19,700,000.00	19,7
Cleared Balance					19,700,000.00	20,2
Register Balance as of 09/30/2019					19,700,000.00	20,2
New Transactions						
Checks and Payments - 2 items						
Transfer	10/17/2019				-500,000.00	-50
Transfer	10/30/2019				-1,350,000.00	-1,85
Total Checks and Payments					-1,850,000.00	-1,85
Total New Transactions					-1,850,000.00	-1,85
Ending Balance					17,850,000.00	18,43

California State Treasurer
Fiona Ma, CPA



Local Agency Investment Fund
 P.O. Box 942809
 Sacramento, CA 94209-0001
 (916) 653-3001

November 22,
 2019

LAIF Home
PMIA Average
Monthly Yields

CALIFORNIA MENTAL HEALTH SERVICES
 AUTHORITY
 STAFF
 3043 GOLD CANAL DRIVE, SUITE 200
 RANCHO CORDOVA, CA 95670

Tran Type
Definitions

Account Number:

September 2019 Statement

Effective Date	Transaction Date	Tran Type	Confirm Number	Authorized Caller	Amount
9/11/2019	9/11/2019	RD	1617064	KIM SANTIN	5,500,000.00

Account Summary

Total Deposit:	5,500,000.00	Beginning Balance:	14,785,210.58
Total Withdrawal:	0.00	Ending Balance:	20,285,210.58



**CALIFORNIA STATE TREASURER
FIONA MA, CPA**



PMIA Performance Report

Date	Daily Yield*	Quarter to Date Yield	Average Maturity (in days)
09/16/19	2.28	2.35	182
09/17/19	2.27	2.35	188
09/18/19	2.27	2.35	187
09/19/19	2.27	2.35	186
09/20/19	2.26	2.35	185
09/21/19	2.26	2.34	185
09/22/19	2.26	2.34	185
09/23/19	2.26	2.34	186
09/24/19	2.26	2.34	185
09/25/19	2.25	2.34	184
09/26/19	2.25	2.34	186
09/27/19	2.25	2.34	187
09/28/19	2.25	2.34	187
09/29/19	2.25	2.34	187
09/30/19	2.25	2.34	185
10/01/19	2.22	2.22	200
10/02/19	2.22	2.22	200
10/03/19	2.21	2.22	198
10/04/19	2.21	2.22	198
10/05/19	2.21	2.21	198
10/06/19	2.21	2.21	198
10/07/19	2.21	2.21	197
10/08/19	2.21	2.21	197
10/09/19	2.21	2.21	196
10/10/19	2.20	2.21	199
10/11/19	2.20	2.21	200
10/12/19	2.20	2.21	200
10/13/19	2.20	2.21	200
10/14/19	2.20	2.21	197
10/15/19	2.20	2.21	198
10/16/19	2.19	2.21	197

*Daily yield does not reflect capital gains or losses

[View Prior Month Daily Rates](#)

LAIF Performance Report

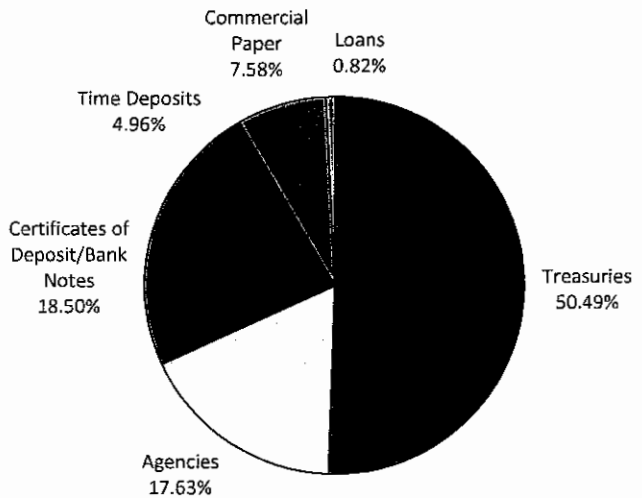
Quarter Ending 09/30/19

Apportionment Rate: 2.45
 Earnings Ratio: .00006701807521016
 Fair Value Factor: 1.001642817
 Daily: 2.25%
 Quarter to Date: 2.34%
 Average Life: 185

PMIA Average Monthly Effective Yields

Sep 2019 2.280
 Aug 2019 2.341
 July 2019 2.379

**Pooled Money Investment Account
Portfolio Composition
09/30/19
\$97.4 billion**



Percentages may not total 100% due to rounding

Notes: The apportionment rate includes interest earned on the CalPERS Supplemental Pension Payment pursuant to Government Code 20825 (c)(1)

Based on data available as of 10/16/2019



BETTY T. YEE
California State Controller

LOCAL AGENCY INVESTMENT FUND
REMITTANCE ADVICE

Agency Name CA MENTAL HEALTH SVCS AUTH

Account Number

As of 10/15/2018, your Local Agency Investment Fund account has been directly credited with the interest earned on your deposits for the quarter ending 09/30/2018.

Earnings Ratio		.00005909460836489
Interest Rate		2.16%
Dollar Day Total	\$	784,674,189.68
Quarter End Principal Balance	\$	10,824,656.06
Quarterly Interest Earned	\$	46,370.01

CalMHSA Portfolio Summary

Zions Bank

Activity 07.01.19 - 09.30.19

	Cash Account	Custody Account
Opening Balance	-	-
Transfer from MSSB	-	22,576,584.96
Accounts Payable payments		
LA Dodgers	(575,000.00)	
Cause Communications	(1,000,000.00)	
Interest/Dividends	8,966.52	53,700.76
Transfer between Accounts	9,600,000.00	(9,600,000.00)
Unrealized Gain/(Loss)		(12,843.84)
Ending balance 09.30.19	8,033,966.52	13,017,441.88
Per Zions statement 09.30.19	8,033,966.52	13,017,441.88
diff	-	-
Accrued Interest	14,742.76	40,684.01

ZIONS BANK

Statement of Account

July 1, 2019 Through September 30, 2019

California Mental Health SA -Cash Account

Account Number : 1245541A

**California Mental Health Services Authority
3043 Gold Canal Drive, Suite 200
Attn: Kim Santin
Rancho Codova, CA 95670**

Please contact your administrator - Kheang (TK) Tan (CT-CA)
with any questions concerning your account.

Confidential And Privileged Information

July 01, 2019 through September 30, 2019

Account Name : California Mental Health SA -Cash Account

Account No :

Cash Reconciliation

	Income	Principal
<i>Opening Balance July 1, 2019</i>	\$ 0.00	\$ 0.00
Receipts		
<i>Sales</i>	0.00	0.00
<i>Interest</i>	0.00	0.00
<i>Dividends</i>	0.00	8,966.52
<i>Other Receipts</i>	0.00	9,600,000.00
<i>Collective Fund Earnings</i>	0.00	0.00
<i>Transfers</i>	0.00	0.00
<i>Total Receipts</i>	0.00	9,608,966.52
Disbursements		
<i>Purchases</i>	0.00	0.00
<i>Fees</i>	0.00	0.00
<i>Other Disbursements</i>	0.00	-1,575,000.00
<i>Transfers</i>	0.00	0.00
<i>Total Disbursements</i>	0.00	-1,575,000.00
<i>Net Cash Management</i>	0.00	-8,033,966.52
<i>Closing Balance September 30, 2019</i>	\$ 0.00	\$ 0.00

July 01, 2019 through September 30, 2019

Account Name : California Mental Health SA -Cash Account

Account No :

Portfolio Summary

September 30, 2019	Portfolio %	Market Value	Projected Income	Current Yield
Cash & Equivalents	100.00%	8,033,966.52	158,622.15	1.97%
<i>Total Portfolio</i>	<i>100.00 %</i>	<i>8,033,966.52</i>	<i>158,622.15</i>	<i>1.97%</i>
<i>Accrued Income</i>		<i>14,742.76</i>		
<i>Total Market Value</i>		<i>8,048,709.28</i>		

ZIONS BANK

Statement of Account

July 1, 2019 Through September 30, 2019

California Mental Health SA -Custody Acc

Account Number : 1245541

California Mental Health Services Authority

3043 Gold Canal Drive, Suite 200

Attn: Kim Santin

Rancho Cordova, CA 95670

Please contact your administrator - Kheang (TK) Tan (CT-CA)
with any questions concerning your account.

Confidential And Privileged Information

July 01, 2019 through September 30, 2019

Account Name : California Mental Health SA -Custody Acc

Account No :

Cash Reconciliation

	Income	Principal
<i>Opening Balance July 1, 2019</i>	\$ 0.00	\$ 0.00
<i>Receipts</i>		
<i>Sales</i>	0.00	6,376,384.45
<i>Interest</i>	0.00	40,260.45
<i>Dividends</i>	0.00	13,440.31
<i>Other Receipts</i>	0.00	12,281,717.32
<i>Collective Fund Earnings</i>	0.00	0.00
<i>Transfers</i>	0.00	0.00
<i>Total Receipts</i>	<u>0.00</u>	<u>18,711,802.53</u>
<i>Disbursements</i>		
<i>Purchases</i>	0.00	-8,678,580.50
<i>Fees</i>	0.00	0.00
<i>Other Disbursements</i>	0.00	-9,600,000.00
<i>Transfers</i>	0.00	0.00
<i>Total Disbursements</i>	<u>0.00</u>	<u>-18,278,580.50</u>
<i>Net Cash Management</i>	0.00	-120,141.16
<i>Closing Balance September 30, 2019</i>	\$ 0.00	\$ 313,080.87

July 01, 2019 through September 30, 2019

Account Name : California Mental Health SA -Custody Acc

Account No :

Portfolio Summary

September 30, 2019	Portfolio %	Market Value	Projected Income	Current Yield
Cash & Equivalents	12.66%	1,607,853.17	2,372.06	0.15%
Fixed Income	87.34%	11,096,507.84	232,909.51	2.10%
Total Portfolio	100.00 %	12,704,361.01	235,281.57	1.85%
Accrued Income		40,684.01		
Total Market Value		12,745,045.02		

Morgan Stanley

CLIENT STATEMENT | For the Period September 1-30, 2019

STATEMENT PACKAGE FOR:
CALIFORNIA MENTAL HEALTH
SERVICES AUTHORITY

TOTAL VALUE OF YOUR ACCOUNTS (as of 9/30/19)
Includes Accrued Interest

Your Financial Advisor Team
LIDDLE/MONROE
916-929-3500

Your Branch
400 CAPITOL MALL, SUITE 1900
SACRAMENTO, CA 95814
Telephone: 916-444-8041; Alt. Phone: 800-755-8041; Fax: 916-447-6875

Morgan Stanley Smith Barney LLC. Member SIPC.

#BWN JGWM



AB 02 000261 90541 H 2 A
CALIFORNIA MENTAL HEALTH
SERVICES AUTHORITY
3043 GOLD CANAL DR, #200
RNCHO CORDOVA CA 95670-6394

Client Service Center (24 Hours a Day; 7 Days a Week): 800-869-3326

Access Your Accounts Online: www.morganstanley.com/online



Consolidated Summary

CHANGE IN VALUE OF YOUR ACCOUNTS (includes accrued interest)

	This Period (9/1/19-9/30/19)	This Year (1/1/19-9/30/19)
TOTAL BEGINNING VALUE	—	\$25,093,445.95
Credits	2,238,846.37	31,080,738.99
Debits	1,271,912.29	(37,497,012.44)
Security Transfers	—	(10,297,978.96)
Accrued Interest On Security Transfers	—	(25,726.76)
Net Credits/Debits/Transfers	\$3,510,758.66	\$(16,739,979.17)
Change in Value	(3,510,758.66)	(8,353,466.78)
TOTAL ENDING VALUE	—	—

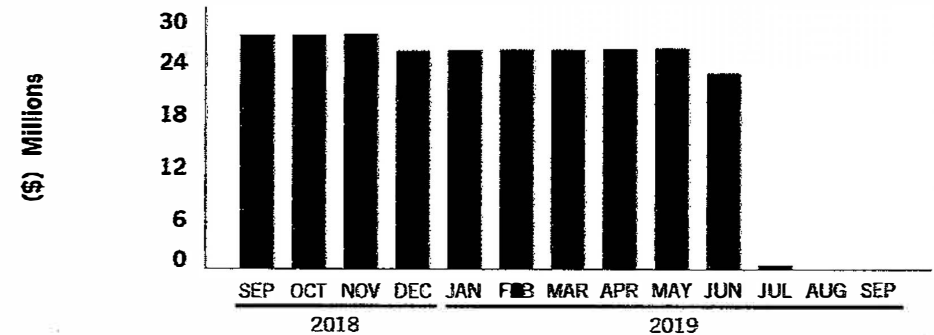
Net Credits / Debits include investment advisory fees as applicable. See Activity section for details.

ASSET ALLOCATION

	Market Value	Percentage
TOTAL VALUE	—	—

MARKET VALUE OVER TIME

The below chart displays the most recent thirteen months of Market Value.



This chart does not reflect corrections to Market Value made subsequent to the dates depicted. It may exclude transactions in Annuities or positions where we are not the custodian, which could delay the reporting of Market Value.

There Are No Holdings For This Account

Agenda Item 3

SUBJECT: CALMHSA CONSENT CALENDAR

ACTION FOR CONSIDERATION:

Approval of Consent Calendar.

BACKGROUND AND STATUS:

The Consent Calendar consists of items that require approval or acceptance but are self-explanatory and require not discussion. If the Finance Committee would like to discuss any item listed, it may be pulled from the Consent Calendar.

A. Routine Matters

- a. Minutes from the May 13, 2019 Finance Committee Teleconference
- b. Treasurer's Report as of September 30, 2019

FISCAL IMPACT:

None.

RECOMMENDATION:

Approval of the Consent Calendar.

TYPE OF VOTE REQUIRED:

Majority vote of the Finance Committee.

REFERENCE MATERIAL(S) ATTACHED:

- Minutes from the May 13, 2019 Finance Committee Teleconference
- Treasurer's Report as of September 30, 2019

Agenda Item 4

**SUBJECT: REVIEW DRAFT OF FINANCIAL AUDIT, FISCAL YEARS ENDED JUNE 30, 2019
AND 2018**

ACTION FOR CONSIDERATION:

Finance Committee to discuss and/or recommend to the Board of Directors filing of the draft CalMHSA Financial Audit.

BACKGROUND AND STATUS:

In accordance with Article 7, Section 7.1 of the Bylaws, which states “the Board shall cause to be made, by a qualified, independent individual or firm, an annual audit of the financial accounts and records of the Authority,” James Marta & Company has provided a first draft of their audit of CalMHSA’s financial statements for the year ended June 30, 2019 and 2018.

FISCAL IMPACT:

None.

RECOMMENDATION:

Finance Committee to discuss and/or recommend to the Board of Directors filing of the draft CalMHSA Financial Audit.

TYPE OF VOTE REQUIRED:

Majority vote of the Finance Committee.

REFERENCE MATERIAL(S) ATTACHED:

To be distributed under separate cover.

Agenda Item 5

SUBJECT: CALMHSA FINANCIAL STATEMENT FOR THE QUARTER ENDED SEPTEMBER 30, 2019

ACTION FOR CONSIDERATION:

Approval of the CalMHSA Financial Statement for the Quarter ended September 30, 2019. Report includes draft unaudited reports for the year ended June 30, 2019. Audit is in progress.

BACKGROUND AND STATUS:

The Finance Committee will review and discuss the financial statement for the quarter ending September 30, 2019. Upon committee approval, the financial statement will be presented at the next Board of Directors Meeting.

FISCAL IMPACT:

None.

RECOMMENDATION:

Accept the CalMHSA Financial Statement for the Quarter ended September 30, 2019.

TYPE OF VOTE REQUIRED:

Majority vote of the Finance Committee.

REFERENCE MATERIAL(S) ATTACHED:

- CalMHSA Financial Statement for the Quarter ended September 30, 2019

**SUMMARY OF SIGNIFICANT CHANGES IN
FINANCIAL STATEMENTS FOR THE FISCAL
YEAR ENDED JUNE 30, 2019 and FIRST
QUARTER ENDED, SEPTEMBER 30, 2019**

BALANCE SHEET:

Cash and Cash Equivalents – The total cash balance as of September 30, 2019 is \$29.03 million. This is an increase of \$17.15 million compared to the \$11.88 million in cash as of June 30, 2019. The increase in cash is primarily due to this being the 1st quarter of the year, with timely billings sent to counties with timely payments from most counties and Accounts Receivable collection efforts escalated for prior year outstanding AR.

Receivables – The balance in accounts receivable as of September 30, 2019, is \$25.29 million. Receivables are comprised mostly of the following:

• State Hospital Beds Program	\$ 862,646
• Phase IVPEI Sustainability Funding	4,152,597
• Help@ Hand	18,183,862
• CCBHS Loan Forgiveness	300,000
• Suicide Prevention Hotline Programs	223,451
• Presumptive Transfer (AB1299)	1,404,768
• Public/Private Partnership Development	<u>157,085</u>
	\$ 25,284,409

A few non county miscellaneous invoices total \$8,000 (prior years)

Accounts Payable – The balance in accounts payable as of September 30, 2019, is approximately \$3.56 million. The payables are primarily for payments to program partners. The vendors with the most significant balances over \$50,000 are as follows:

• George Hills Company/Each Mind Matters	\$ 458,735
• Cambria Solutions	405,388
• Cause Communications	54,984

• Foundation for CA Community Colleges	81,225
• Directing Change	312,787
• Kings View	92,795
• Los Angeles County of Education	359,481
• RAND Corporation	98,493
• Runyon Saltzman & Einhorn, Inc.	302,573
• Sherry Matthews, Inc	271,696
• Your Social Marketer	154,437
• University of California	<u>331,046</u>
	\$ 2,923,640

STATEMENT OF REVENUE AND CHANGES IN NET ASSETS:

Operating Revenue – Total revenue (unaudited) for the fiscal year ended June 30, 2019 was \$31 million consisting primarily of revenue for Help@Hand (\$14.9 million), LA Media Campaign (\$6.386 million), Phase III PEI (\$7.64 million)Public/Private Partnership Development Fee (\$432 thousand), as well as other strategic programs such as Suicide Prevention Hotline, Translation, CCBHS Loan Forgiveness and the State Hospital Beds Program.

Current fiscal year 1st quarter revenue (unaudited) as of September 30, 2019 was \$38.4 million, consisting primarily of revenue for Help@Hand (\$16.5 million), LA Media Campaign (\$12 million), Phase IV PEI (\$8.2 million) thousand), as well as other strategic programs such as Suicide Prevention Hotline, CCBHS Loan Forgiveness, Presumptive Transfer and the State Hospital Beds.

Expenses – Overall expenses (unaudited) for the fiscal year ended June 30, 2019 were \$33.9 million and expenses for the current year 1st quarter (unaudited) as of September 30, 2019 was \$7.5 million.

Expenses consisted mainly of contract expenses for the various programs.



California Mental Health Services Authority
Balance Sheet
As of September 30, 2019

	Jun 30, 19	Sep 30, 19
	(Unaudited)	(Unaudited)
ASSETS		
Current Assets		
Checking/Savings	\$ 11,875,523	\$ 29,028,707
Accounts Receivable	\$ 10,219,215	\$ 25,292,409
Client Clearing	\$ -	\$ 45
Interest Receivable	\$ 72,510	\$ 101,797
Investment- Current Portion	\$ 13,073,948	\$ 13,017,442
Prepaid Expenses	\$ 622,521	\$ 525,596
Total Current Assets	\$ 35,863,717	\$ 67,965,995
TOTAL ASSETS	\$ 35,863,717	\$ 67,965,995
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable	\$ 3,544,194	\$ 3,557,917
AB1299 Funds	\$ 1,834,669	\$ 3,195,980
Accrued Expenses	\$ 973,653	\$ 924,356
Deferred Revenue	\$ 270,000	\$ -
Total Current Liabilities	\$ 6,622,516	\$ 7,678,253
Total Liabilities	\$ 6,622,516	\$ 7,678,253
Equity		
Obligated Funds Under Contract		
CCBHS Loan Forgiveness Program	\$ 450,315	\$ 700,074
CVSPH- Central Valley Suicide	\$ 28,348	\$ 508,830
Fiscal Modernization	\$ 77,054	\$ 77,388
Help@Hand	\$ 20,161,680	\$ 33,954,719
LA County Media Campaign	\$ 966,801	\$ 10,057,320
LA PEI Funding	\$ 301,314	\$ 1,523,111
NV Suicide Prevention Hotline	\$ (19)	\$ 95,286
Plumas Wellness Center	\$ 145,735	\$ 146,368
Presumptive Transfer (AB1299)	\$ (166,520)	\$ (111,904)
Public/Private Partnership Dev	\$ 232,787	\$ 229,536
State Hospital Bed Funding	\$ 2,323,295	\$ 2,917,695
Statewide PEI Program	\$ 3,957,030	\$ 9,443,123
Sutter Health Systems	\$ 45,703	\$ 45,901
Tech Assist/Capacity Building	\$ 210,645	\$ 211,558
Translation Funding	\$ 32,700	\$ 12,170
WET Program Funding	\$ 154,967	\$ 155,639
Total Obligated Funds Under Contract	\$ 28,921,837	\$ 59,966,814
Operations - Net Assets	\$ 319,363	\$ 320,928
Total Equity	\$ 29,241,201	\$ 60,287,742
TOTAL LIABILITIES & EQUITY	\$ 35,863,717	\$ 67,965,995

California Mental Health Services Authority
Profit & Loss (Unaudited)
Q1, 2019 (July-September 2019)

Fiscal Year 2019/2020						FY18/19	
Approved Budget	Actual Expenses July- September 2019 (3 months)				Budget to Actual Variance	Actuals 06.30.19 (Unaudited)	
	Operations	Statewide PEI Programs	Strategic Programs	TOTAL			
Ordinary Income/Expense							
Income							
Operating Revenues							
Program Funding Contributions	\$ 49,793,042	\$ -	\$ 20,035,907	\$ 18,398,129	\$ 38,434,037	\$ (11,359,005)	\$ 31,018,093
Total Income	\$ 49,793,042	\$ -	\$ 20,035,907	\$ 18,398,129	\$ 38,434,037	\$ (11,359,005)	\$ 31,018,093
Expense							
Direct Expenses							
Loan Repayment	\$ -	\$ -	\$ -	\$ 50,000	\$ 50,000	\$ (50,000)	\$ 50,000
Program Contract	\$ 39,679,434	\$ -	\$ 3,657,681	\$ 2,198,505	\$ 5,856,186	\$ 33,823,248	\$ 29,173,745
Program Legal Services	\$ 191,401	\$ -	\$ 10,505	\$ 15,688	\$ 26,192	\$ 165,209	\$ 65,586
Program Management & Oversight	\$ 3,872,128	\$ 292,239	\$ 432,720	\$ 740,764	\$ 1,465,723	\$ 2,406,405	\$ 3,354,602
Program Travel and Meeting	\$ 85,000	\$ -	\$ -	\$ 18,220	\$ 18,220	\$ 66,780	\$ 203,937
Program Website,IT,Portal	\$ 7,500	\$ 4,600	\$ -	\$ 1,188	\$ 5,788	\$ 1,712	\$ 15,841
Total Direct Expenses	\$ 43,835,463	\$ 296,839	\$ 4,100,906	\$ 3,024,365	\$ 7,422,110	\$ 36,413,353	\$ 32,863,711
Indirect Expenses							
Bank Service Charges	\$ -	\$ 189	\$ -	\$ -	\$ 189	\$ (189)	\$ 547
General Management	\$ 1,135,680	\$ (96,989)	\$ 34,657	\$ 62,332	\$ (0)	\$ 1,135,680	\$ 683,674
Insurance Expense	\$ 43,000	\$ 44,085	\$ -	\$ -	\$ 44,085	\$ (1,085)	\$ 26,937
Legal Services	\$ 60,000	\$ 8,237	\$ -	\$ -	\$ 8,237	\$ 51,763	\$ 110,842
Financial Audit	\$ 15,000	\$ -	\$ -	\$ -	\$ 15,000	\$ 15,000	\$ 10,695
Other Expenses	\$ 25,000	\$ 16,429	\$ -	\$ -	\$ 16,429	\$ 8,571	\$ 57,253
Travel and Meeting	\$ 30,000	\$ 6,260	\$ 6,534	\$ 4,501	\$ 17,294	\$ 12,706	\$ 91,610
Total Indirect Expenses	\$ 1,308,680	\$ (21,790)	\$ 41,191	\$ 66,833	\$ 86,233	\$ 1,222,447	\$ 981,558
Total Expense	\$ 45,144,143	\$ 275,049	\$ 4,142,096	\$ 3,091,198	\$ 7,508,343	\$ 37,635,800	\$ 33,845,269
Net Ordinary Income	\$ 4,648,899	\$ (275,049)	\$ 15,893,811	\$ 15,306,932	\$ 30,925,693	\$ 26,276,794	\$ (2,827,176)
Other Income							
Investment Income	\$ -	\$ 1,565	\$ 45,343	\$ 77,200	\$ 124,108	\$ 124,108	\$ 776,444
Total Other Income		\$ 1,565	\$ 45,343	\$ 77,200	\$ 124,108	\$ 124,108	\$ 776,444
Other Expense							
General and Administrative Exp		\$ (278,309)	\$ 140,746	\$ 137,563	\$ -	\$ -	
Investment Service Fees		\$ 3,260	\$ -	\$ -	\$ 3,260	\$ (3,260)	\$ 12,481
Total Other Expense	\$ -	\$ (275,049)	\$ 140,746	\$ 137,563	\$ 3,260	\$ (3,260)	\$ 12,481
Net Other Income	\$ -	\$ 276,614	\$ (95,403)	\$ (60,363)	\$ 120,849	\$ 120,849	\$ 763,963
Net Income	\$ 4,648,899	\$ 1,565	\$ 15,798,407	\$ 15,246,569	\$ 31,046,542	\$ 26,397,643	\$ (2,063,213)
Beginning Net Assets 07.01.19		\$ 319,363	\$ 5,225,146	\$ 23,696,691	\$ 29,241,201		\$ 31,304,414
Fund Balance 09.30.2019		\$ 320,928	\$ 21,023,553	\$ 38,943,261	\$ 60,287,742		\$ 29,241,201

Agenda Item 6

SUBJECT: REVIEW OF ESTIMATED REVENUE AND EXPENDITURE REPORT FY 2020/2021

ACTION FOR CONSIDERATION:

Review and discussion of the CalMHSA Projected Revenue and Expenditure Report FY 2020/2021.

BACKGROUND AND STATUS:

The Finance Committee is being presented with a preliminary review of the revenue and expenditure report FY 2020/2021. The report is intended to be informational and to allow the members to see what is being projected.

As part of our improvement efforts, we have implemented a new process in order to be timely with member invoicing, reporting, and budget review process. The new process is now effective and outlined as follows:

December – The Estimated Revenue and Expenditure Report for the upcoming fiscal year, will be presented in December of each year.

January – The Annual Member Program Funding forms will go out to all members, to be completed with their intent to fund for programs they intend to participate in for the next fiscal year.

March 31st – This is the deadline for counties to return the completed Annual Member Program Funding Forms.

May 1st – Invoices to Counties will be issued and posted in the secure portal, based on the completed and returned Annual Member Program Funding Form. If the form is not received by the established deadline, invoices will reflect the previous year commitments.

May Finance Committee Meeting (prior to May 15th) – The New Proposed Annual Revenue and Expenditure Report will be presented to the Finance Committee for a recommendation for approval to the Board.

May 15th – The New Proposed Annual Revenue and Expenditure Report will be distributed to the Board of Directors, as required by the Bylaws.

June – The New Proposed Annual Revenue and Expenditure Report will be presented to the Board of Directors, by the Finance Committee with a recommendation for approval.

FISCAL IMPACT:

None.

RECOMMENDATION:

Review and discussion of the CalMHSA Estimated Revenue and Expenditure Report FY 2020/2021 and proposed timeline.

TYPE OF VOTE REQUIRED:

None. Informational

REFERENCE MATERIAL(S) ATTACHED:

- Annual Revenue and Expenditure Report – Estimated Budget June 30, 2021

Annual Revenue and Expenditure Report – Estimated Budget June 30, 2021



- Budget Narrative & Notes •

Budget Notes

The CalMHSA staff has reviewed the direction from the Board and analyzed the projected programs of CalMHSA as it relates to the projection for fiscal year 2020/2021. Revenue projections have been made based upon current estimate of funds to be received and program administrative requirements have been shaped based on size and source of funds expected for each revenue stream.

A. Budget Assumptions and Criteria Applied

1. The estimated budget was based on the program and administrative services needed in order to meet the needs of its members.
2. **Estimated Budget - Column B** reflects the estimate of FY2019/2020 yearend and **Column C** reflects the estimated budget for fiscal year 2020/2021.
3. **FTE** - In FY 19/20 the budget reflected 23.15 FTE, however due to an increase in programs and project management needs for CalMHSA programs, an increase is projected to 27.30. FTE details are as follows:

	DESCRIPTION	FTE
a.	PEI Programs (Prevention)	7.51
b.	Help@Hand (Inn Tech Suite)	10.3
c.	State Hospitals	0.4
d.	Suicide Prevention	0.36
e.	Presumptive Transfer (AB1299)	1.01
f.	Early Psychosis	0.15
g.	Sacramento Mini Grants	1.77
h.	Digital Resource Navigator	0.88
i.	Student Mental Health	0.09
j.	Indirect and G&A	4.83
		27.3

4. **Program Management** - Managing the programs requested and building in the members expectations of having the staff expertise to research potential projects for CalMHSA.
5. **Member Relations** - Member relations is critical and recognizing that the membership is large/vast/varying and evolving and requires staff resources to succeed in this relationship.
6. **Partner Collaborations** - Effort and working with associated agencies CBHDA, CIBHS, OAC, and DHCS.
7. Compliance with statutory requirements of the government code, JPA Agreement and Bylaws and DHCS.
8. Meeting member interest in CalMHSA being efficient to assist in regional or joint member projects.

B. Revenue – During Fiscal year 2020/2021 is Estimated as

1. PEI Sustainability is based on prior year experience of program needs and the increased demand of programs.
2. Help@Hand revenue is projected on County Participation (with OAC approval, contracted or expected to participate in 2020/2021). Given that, Cohort 2 counties have been included in the revenue, therefore reflected in the proposed new budget for the Help@Hand, pending Leadership approval and related contract amendments.

3. State Hospital Bed Program Revenue is based upon current participation agreements.
4. Suicide Prevention Program Revenue is based upon current participation agreements and includes Northern Valley and Central Valley participation.
5. LA Media Campaign has indicated a budget of \$10 million for 2020/2021.
6. Transfers In/Out (Direct Expense) represent a pass through of the Deposits related to the AB 1299. AB1299 admin fee is based on 5% of the AB 1299 deposits and prior year deposits paid for participating counties.
7. Early Psychosis (5-year program) has been confirmed by Orange County only and Participation Agreement is in process.
8. Sacramento Mini Grant Three-year Program, with a starting period of January 2020 at \$10 million grant funding and \$1.5 million for project management and indirect fee.
9. Digital Resource Navigator program is an 18 month Orange County Innovative project.
10. Student Mental Health program is a 6 month project, with a high potential of expansion. The focus is to create resources which enable relationships to be fostered between county behavioral health departments and local education agencies. The project will develop three deliverable; 1) Matrix of existing BH/school partnerships, toolkit to assist county BH departments develop school partnerships, learning collaborative to foster continued learning.

C. Program Service Expenditures

1. Program Partners Contracts have been budgeted at 80% of Revenues for all programs, except Student Mental Health (contract is written at \$99,000) and Help@Hand (new budget concept written to allow at least 50% local funds)
2. Direct Legal Expenses include a budgeted amount related to the increased programs.

General and Administrative Expenses

1. General and Administrative Staffing – Staffing services to manage the general and fiscal operations of CalMHSA. The staffing contract with George Hills increases from \$104.04 per FTE to \$106.12 per FTE hour for 20/21 (estimated 2% COLA).
2. Legal Expenses – CalMHSA has retained legal services to provide counsel to the board and support of the governing documents and contracts.
3. Travel and Meeting Expenses – CalMHSA is governed by a Board of Directors and has established standing committees and must comply with the Brown Act to conduct public meetings for JPA business.
4. Other Contracts – CalMHSA has entered into contracts for general information dissemination and information technology.
5. Insurance – CalMHSA procures its general liability insurance from CSAC-EIA on a policy term of July 1 to June 30. Insurance has been increased to prepare for Cyber and HIPPA coverage for 2020/2021.

California Mental Health Services Authority
2019/2020 Estimated, 2020/2021 Proposed Operating Budget

	(A)	(B)	(C)	
	Board Approved Budget (June 2019) 2019/2020	Estimated Year End 2019/2020	Proposed Budget 2020/2021	Footnotes
Revenues:				
PEI Sustainability	10,000,000	7,970,000	9,800,000	(1)
Help@Hand	23,655,750	20,000,000	12,554,171	(2)
Private Fund Development Fees	-	-	-	
State Hospital Bed Program	600,000	607,666	600,000	(3)
Suicide Prevention Program	500,000	500,000	875,000	(4)
LA Media Campaign	12,000,000	12,000,000	10,000,000	(5)
Transfers In - AB 1299	5,000,000	2,000,000	2,000,000	(6)
AB 1299 - Admin Fee	250,000	160,000	250,000	(6)
Early Psychosis	2,787,292	249,912	499,824	(7)
Sacramento Mini Grants	-	1,916,667	3,833,333	(8)
Digital Resource Navigation	-	2,733,333	4,966,667	(9)
Student Mental Health	-	150,000	150,000	(10)
New Programs- pending contract				
Total Revenues	54,793,042	48,287,578	45,528,995	
Program Expenses (Direct):				
Program Contracts	39,634,434	36,902,062	30,841,945	
Program Management	3,872,128	5,015,014	5,015,014	
Other Contracts	45,000	45,000	150,000	
Legal	191,401	191,401	272,401	
Transfers Out - AB 1299	5,000,000	2,000,000	2,000,000	
Travel & Meetings	92,500	92,500	100,500	
Total Program Expenses	48,835,463	44,245,977	38,379,861	
General & Administrative Expenses (Indirect):				
General & Administrative				
Staffing	1,135,680	1,010,949	1,010,949	
Legal	60,000	60,000	160,000	
Travel & Meetings	30,000	30,000	130,000	
Other Contracts	25,000	25,000	425,000	
Insurance	43,000	43,000	53,000	
Financial Audit	15,000	15,000	55,000	
Total General & Administrative Expenses	1,308,680	1,183,949	1,833,949	
Total Expenditures	50,144,143	45,429,927	40,213,810	
Net Income / (loss) From Operations	4,648,899	2,857,651	5,315,186	
Prior Year Reserves	3,048,794	3,048,794	1,181,289	
Projected Reserves as of June 30	7,697,693	5,906,445	6,496,475	
Less: Obligated Reserves	(6,158,154)	(4,725,156)	(5,197,180)	
Total Available Reserves at June 30	1,539,539	1,181,289	1,299,295	

#	Footnotes to Column C	Explanation
(1)	PEI Sustainability	Projection based on counties receiving MHSA Funds and higher demand for some program partners (e.g. Walk in Our Shoes)
(2)	Help@Hand	FY20/21 Revenue billing - adjusted due to new budget concept with reduction in collaborative contracting and increase in local funds
(3)	State Hospital Program	Assume same revenue as FY19/20
(4)	Suicide Prevention Hotline	Includes both Central Valley and Northern Valley (new in 19/20)- assume same revenue as FY19/20
(5)	LA Media Campaign	As committed by LA - similar activities as FY19/20
(6)	AB1299 - Presumptive Transfer	\$2m in AB1299 funds transfers, \$250K annual admin fees
(7)	Early Psychosis	5 year contract, total \$2,499,120. Orange County only
(8)	Sacramento Mini Grants	3 year program, \$10m in Grants, \$1.5M Indirect costs
(9)	Digital Resource Navigation	18 months program by Orange County for electronic directory. \$8,360,000
(10)	Student Mental Health	Funded through 6/30/2020 to create resources for local education agencies. Likelihood program will be enhanced and extended to accommodate the dire need in educational institutes.

**California Mental Health Services Authority
Administrative Expense Allocation
2020/2021 Fiscal year
Proposed Budget**

	General & Administrative	State Hospital Bed Program	Suicide Prevention - Regional Program	LA Media Campaign	AB 1299	PEI Sustainability Projects	Help@Hand	Early Psychosis	Sacramento Mini Grants	Digital Resource Navigator	Student Mental Health	Totals	Percent of Total Expenses
Revenue	0	600,000	875,000	10,000,000	2,250,000	9,800,000	12,554,171	499,824	3,833,333	4,966,667	150,000	\$ 45,528,995	
Direct Expenses (Direct):													
Program Contracts		\$ 480,000	\$ 700,000	\$ 8,000,000		\$ 7,840,000	6,277,086	399,859	3,066,667	3,973,334	105,000	\$ 30,841,945	87.58%
Program Management - Direct	432,633	68,427	61,805	492,231	209,695	969,010	2,174,203	28,695	386,280	172,170	19,866	\$ 5,015,014	14.24%
Other Project Management					3,000		100,000		50,000			\$ 150,000	0.43%
Legal		5,001			2,000,000	164,400	100,000		-	-	-	\$ 272,401	0.77%
Transfers Out												\$ 2,000,000	5.68%
Travel & Meetings		7,000	500			30,000	40,000	1,000	1,000	1,000	20,000	\$ 100,500	0.29%
Total Direct Expenses	432,633	560,428	762,305	8,492,231	2,212,695	9,003,410	8,691,288	429,554	3,503,946	4,146,504	144,866	38,379,861	95.44%
General & Administrative (Indirect):													
General & Administrative													
Staffing	633,499	19,866	17,659	99,329	13,244	97,122	99,329	4,415	4,415	22,073	-	1,010,949	2.87%
Legal	60,000	-	-	-	-	-	100,000	-	-	-	-	160,000	0.45%
Travel & Meetings	30,000	-	-	-	-	-	100,000	-	-	-	-	130,000	0.37%
Other Contracts	25,000	-	-	-	-	-	400,000	-	-	-	-	425,000	1.21%
Insurance	43,000	-	-	-	-	-	10,000	-	-	-	-	53,000	0.15%
Financial Audit & Fiscal	15,000	-	-	-	-	-	40,000	-	-	-	-	55,000	0.16%
Indirect G&A allocations	(173,000)	3,080	2,772	20,634	7,776	37,187	79,301	1,155	13,628	6,775	693	0	0.00%
Indirect Staff Cost Allocation to Programs	(1,066,132)	18,979	17,081	127,158	47,921	229,169	488,703	7,117	83,981	41,753	4,270	0	0.00%
Total Indirect Expenses	(432,633)	41,924	37,511	247,121	68,941	363,477	1,317,334	12,687	102,023	70,602	4,963	1,833,949	4.56%
Total Expenditures	-	\$ 602,352	\$ 799,816	\$ 8,739,351	\$ 2,281,636	\$ 9,366,887	\$ 10,008,622	\$ 442,241	\$ 3,605,970	\$ 4,217,106	\$ 149,829	\$ 40,213,810	100.00%
Net Increase/(Decrease) Unexpended Funds	\$ -	\$ (2,352)	\$ 75,184	\$ 1,260,649	\$ (31,636)	\$ 433,113	\$ 2,545,549	\$ 57,583	\$ 227,364	\$ 749,561	\$ 171	\$ 5,315,186	
Obligated Reserves Carry Over of Prior Years												1,181,289	
												\$ 6,496,475	